

PTO-1449 (Modified)	ATTY. DOCKET NO.	SERIAL NUMBER
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	03493.00174	09/875,032
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	APPLICANT Alan H. Gnauck et al.	
	FILING DATE June 7, 2001	GROUP ART UNIT 2874


U.S. PATENT DOCUMENTS

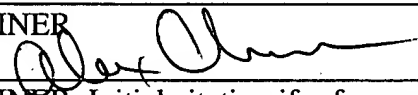
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	A. Mecozzi, C. B. Clausen and M. Shtaif, "System Impact of Intra-Channel Nonlinear Effects of Highly Dispersed Optical Pulse Transmission", IEEE PHOTONICS TECHNOLOGY LETTERS, VOL. 12, No. 12, December 2000, pp. 1633-1635.

EXAMINER 	DATE CONSIDERED 3/28/04
EXAMINER: Initial citation if reference was considered. Draw line through citation if not in conformance to MPEP 609 and not considered. Include copy of this form with next communication to applicant.	

Substitute for form 1449 PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete If Known

Application Number 09/875032
 Filing Date 06/07/2001
 First Named Inventor Alan H. Gnauck et al.
 Group Art Unit 2874
 Examiner Name Not Yet Assigned
 Attorney Docket Number 2000-0561

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
AR		5 917 858		Sinha Atul N et al.	06/29/1999	Abstract, figure 1. Relevant to claims 1-25.
AR		5 608 560		Abram Izo et al	03/04/1997	Column 1, line 5 - column 1, line 14; figure 1. Relevant to claims 1-25
AR		5 434 693		Matsushima Yuicki et al	07/18/1995	Abstract, figure 5. Relevant to claims 1- 25.

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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T*
		Office ³	Number (if known)	Kind Code ⁴				
AR		EP	0 300 771	A	British Telecom	01/25/1989	Abstract, figure 3. Relevant to claims 1-25.	<input type="checkbox"/>
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¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents ³Enter Office that issued the document, by the two letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. *Applicant is to place a check mark here if English language Translation is attached.

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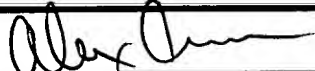
Substitution for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Complete If Known	
Application Number		09/875032	
Filing Date		06/07/2001	
First Named Inventor		Alan H. Gnauck et al.	
Group Art Unit		2874	
Examiner Name		Not Yet Assigned	
Attorney Docket Number		2000-0561	
Sheet	of		

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
AR	AA	WALDMAN H ET AL: "LINE CODES FOR PHOTONICALLY AMPLIFIED DIGITAL LINKS" COMMUNICATIONS: THE KEY TO GLOBAL PROSPERITY. GLOBECOM 1996. GLOBAL INTERNET 96 CONFERENCE RECORD. LONDON, NOV. 18-22, 1996, GLOBAL TELECOMMUNICATIONS CONFERENCE (GLOBECOM), NEW YORK, IEEE, US, vol. SUPP, 18 November 1996 (1996-11-18), pages 82-86, XP000741692, ISBN: 0-7803-3337-3. The whole document. Relevant to claims 1-25.	
AC	AB	PCT Search Report dated May 7, 2002 regarding European Patent Application No 02001082.3.	<input type="checkbox"/>
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